

MAIL STOP NON-FEE AMENDMENTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AFI 3673

Re: Our File: WAVE 3.0-009

Group Art Unit: 3673

Applicant: SMITH, DENNIS G.

Serial No.: 10/002,601

Examiner: MICHAEL GAFAVI

Filed: NOV. 1, 2001

Batch No.:

For: RIBBED MODULE FOR  
WAVE ENERGY DISPERSION

Dear Sir:

Enclosed for filing in the United States Patent and Trademark Office is the following:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Law Firm Transmittal Letter & COPY       | <input type="checkbox"/> Letter/Official Draftsmen  |
| <input checked="" type="checkbox"/> Response/Amendment                       | <input type="checkbox"/> Petition   |
| <input type="checkbox"/> Affidavit/Declaration                               | <input type="checkbox"/> Request for Ext. of Time   |
| <input type="checkbox"/> Notice of Appeal                                    | <input type="checkbox"/> Small Entity Statement(s)  |
| <input type="checkbox"/> Assignment and cover sheet                          | <input checked="" type="checkbox"/> Postcard acknowledging receipt of above-identified material |
| <input type="checkbox"/> Certificate of Correction                           |   |
| <input type="checkbox"/> Check No. _____ - \$ _____                          |   |
| <input type="checkbox"/> Claim of Priority - Cert'd Copies                   |   |
| <input type="checkbox"/> Communication                                       |   |
| <input type="checkbox"/> Drawings _____ sheets -                             |   |
| Formal/Informal  |   |
| <input type="checkbox"/> Issue Fee/Maintenance Fee                           |   |
| <input type="checkbox"/> Information Disclosure Statement; Reference, Tabs - |   |

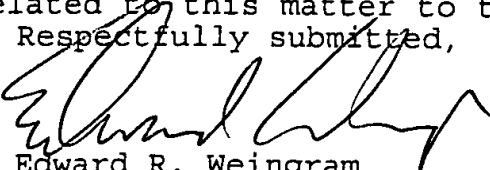
Conditional Petition and Fee for Extension of Time: If any extension of time for the accompanying response is required, applicant requests that this be considered a petition therefor.

In connection with the above-identified matter, please charge any additional fees or any other charges related to this matter to the deposit account of the writer, No. 23-0812.

Respectfully submitted,

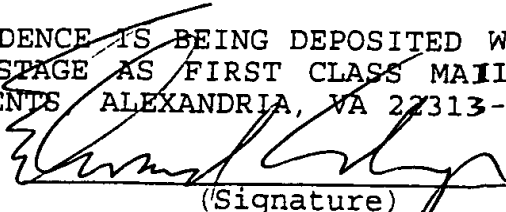
Enclosures

DATED: 2/10/04

  
Edward R. Weingram  
Registration No. 24,493  
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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS ALEXANDRIA, VA 22313-1450 ON

DATE: 2/10/04

  
(Signature)

PTFORMS\PTOCVRLT1.ERW

Practitioner's Docket No. WAVE 3.0-009**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Dennis G. Smith

Application No.: 0 10/ 002,601 Group No.: 3673

Filed: 11/01/01

Examiner: Michael Safavi

For: RIBBED MODULE FOR WAVE  
ENERGY DISPERSIONAssistant Commissioner for Patents  
Washington, D.C. 20231**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.

**RECEIVED**  
FEB 19 2004  
**GROUP 3000****STATUS**

2. Applicant is
- ☒ a small entity. A statement:
- ☐ is attached.
- ☒ was already filed.
- ☐ other than a small entity.

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 2/10/04**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Edward R. Weingram  
(type or print name of person certifying)

### EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. § 1.645 for extensions of time in interference proceedings, and 37 C.F.R. § 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. § 1.136  
(fees: 37 C.F.R. § 1.17(a)(1)-(4) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$ 195.00
<input type="checkbox"/> three months	\$ 890.00	\$ 445.00
<input type="checkbox"/> four months	\$ 1,390.00	\$ 695.00

Fee: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this is a conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal [9-19]—page 2 of 4)

**FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. § 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
				OR RATE ADDIT. FEE
TOTAL	MINUS	=	x\$9 = \$	x\$18 = \$
INDEP.	MINUS	=	x\$40 = \$	x\$80 = \$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+ \$135 = \$	+ \$270 = \$
			TOTAL ADDIT. FEE \$	OR TOTAL ADDIT. FEE \$

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 C.F.R. § 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) ☐ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required \$ \_\_\_\_\_.

**FEE PAYMENT**

☐ Attached is a ☐ check ☐ money order in the amount of \$ \_\_\_\_\_

☐ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_

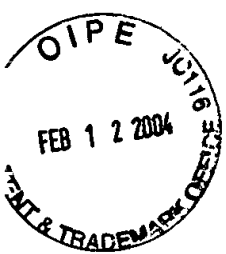
☐ to Deposit Account No. \_\_\_\_\_

☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should **not** be included on this form as it may become public.

☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.



## FEE DEFICIENCY

**NOTE:** If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. ☐ If any additional extension and/or fee is required, charge Account  
No. \_\_\_\_\_

### AND/OR

- ☒ If any additional fee for claims is required, charge Account  
No. 23-0812

RECEIVED  
FEB 19 2004  
GROUP 3600

Reg. No.: 24,493

Tel. No.: (201) 843-6300

Customer No.: 28885

SIGNATURE OF PRACTITIONER

Edward R. Weingram  
(type or print name of practitioner)

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P.O. Address BOX 927  
MAYWOOD, N.J. 07607

(Amendment Transmittal [9-19]—page 4 of 4)



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Dennis G. Smith  
Serial No.: 10/002,601  
Date of Filing: November 1, 2001  
For: Ribbed Module for Wave Energy Dispersion

Examiner: Michael Safavi  
Group Art Unit: 3673

Mail Stop Fee Amendment  
Commissioner for Patents  
P.O. BOX 1450  
Alexandria, Virginia 22313-1450

**AMENDMENT IN RESPONSE TO FIRST OFFICE ACTION**

Sir:

In response to the Office Action dated December 30, 2004, please amend claim 6 as shown in the attached Complete Listing of All Claims in the above identified application:

**RECEIVED**  
FEB 19 2004  
**GROUP 3600**